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997 Functional Acknowledgment

Functional Group=FA

Purpose: This Draft Standard for Trial Use contains the format and establishes the data contents of the Functional Acknowledgment Transaction Set (997) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to define the control structures for a set of acknowledgments to indicate the results of the syntactical analysis of the electronically encoded documents. The encoded documents are the transaction sets, which are grouped in functional groups, used in defining transactions for business data interchange. This standard does not cover the semantic meaning of the information encoded in the transaction sets.

Heading:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>	<u>Usage</u>
010	ST	Transaction Set Header	M	1		N1/010	Must use
020	AK1	Functional Group Response Header	M	1		N1/020	Must use
<u>LOOP ID - AK2</u>					<u>999999</u>	<u>N1/030L</u>	
030	AK2	Transaction Set Response Header	O	1		N1/030	Used
<u>LOOP ID - AK3</u>					<u>999999</u>	<u>C1/040L</u>	
040	AK3	Data Segment Note	O	1		C1/040	Used
050	AK4	Data Element Note	O	99			Used
060	AK5	Transaction Set Response Trailer	M	1			Must use
070	AK9	Functional Group Response Trailer	M	1			Must use
080	SE	Transaction Set Trailer	M	1			Must use

Notes:

- 1/010 These acknowledgments shall not be acknowledged, thereby preventing an endless cycle of acknowledgments of acknowledgments. Nor shall a Functional Acknowledgment be sent to report errors in a previous Functional Acknowledgment.
The Functional Group Header Segment (GS) is used to start the envelope for the Functional Acknowledgment Transaction Sets. In preparing the functional group of acknowledgments, the application sender's code and the application receiver's code, taken from the functional group being acknowledged, are exchanged; therefore, one acknowledgment functional group responds to only those functional groups from one application receiver's code to one application sender's code.
There is only one Functional Acknowledgment Transaction Set per acknowledged functional group.
- 1/020 AK1 is used to respond to the functional group header and to start the acknowledgement for a functional group. There shall be one AK1 segment for the functional group that is being acknowledged.
- 1/030L AK2 is used to start the acknowledgement of a transaction set within the received functional group. The AK2 segments shall appear in the same order as the transaction sets in the functional group that has been received and is being acknowledged.
- 1/030 AK2 is used to start the acknowledgement of a transaction set within the received functional group. The AK2 segments shall appear in the same order as the transaction sets in the functional group that has been received and is being acknowledged.

Comments:

- 1/040L The data segments of this standard are used to report the results of the syntactical analysis of the functional groups of transaction sets; they report the extent to which the syntax complies with the standards for transaction sets and functional groups. They do not report on the semantic meaning of the transaction sets (for example, on the ability of the receiver to comply with the request of the sender).
- 1/040 The data segments of this standard are used to report the results of the syntactical analysis of the functional groups of transaction sets; they report the extent to which the syntax complies with the

standards for transaction sets and functional groups. They do not report on the semantic meaning of the transaction sets (for example, on the ability of the receiver to comply with the request of the sender).

ST Transaction Set Header

Pos: 010	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 2

User Option (Usage): Must use

Purpose: To indicate the start of a transaction set and to assign a control number

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
ST01	143	Transaction Set Identifier Code	M	ID	3/3	Must use

Description: Code uniquely identifying a Transaction Set

CodeList Summary (Total Codes: 298, Included: 1)

Code Name

997 Functional Acknowledgment

ST02	329	Transaction Set Control Number	M	AN	4/9	Must use
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Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set

Semantics:

1. The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

AK1 Functional Group Response Header

Pos: 020	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 2

User Option (Usage): Must use

Purpose: To start acknowledgment of a functional group

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK101	479	Functional Identifier Code	M	ID	2/2	Must use
		Description: Code identifying a group of application related transaction sets All valid standard codes are used. (Total Codes: 234)				
AK102	28	Group Control Number	M	N0	1/9	Must use
		Description: Assigned number originated and maintained by the sender				

Semantics:

1. AK101 is the functional ID found in the GS segment (GS01) in the functional group being acknowledged.
2. AK102 is the functional group control number found in the GS segment in the functional group being acknowledged.

AK2 Transaction Set Response Header

Pos: 030	Max: 1
Heading - Optional	
Loop: AK2	Elements: 2

User Option (Usage): Used

Purpose: To start acknowledgment of a single transaction set

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK201	143	Transaction Set Identifier Code	M	ID	3/3	Must use
Description: Code uniquely identifying a Transaction Set						
All valid standard codes are used. (Total Codes: 298)						
AK202	329	Transaction Set Control Number	M	AN	4/9	Must use
Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set						

Semantics:

1. AK201 is the transaction set ID found in the ST segment (ST01) in the transaction set being acknowledged.
2. AK202 is the transaction set control number found in the ST segment in the transaction set being acknowledged.

AK3 Data Segment Note

Pos: 040	Max: 1
Heading - Optional	
Loop: AK3	Elements: 4

User Option (Usage): Used

Purpose: To report errors in a data segment and identify the location of the data segment

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK301	721	Segment ID Code	M	ID	2/3	Must use
		Description: Code defining the segment ID of the data segment in error (See Appendix A - Number 77)				
AK302	719	Segment Position in Transaction Set	M	N0	1/6	Must use
		Description: The numerical count position of this data segment from the start of the transaction set: the transaction set header is count position 1				
AK303	447	Loop Identifier Code	O	AN	1/6	Used
		Description: The loop ID number given on the transaction set diagram is the value for this data element in segments LS and LE				
AK304	720	Segment Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a segment				
		All valid standard codes are used. (Total Codes: 8)				

AK4 Data Element Note

Pos: 050	Max: 99
Heading - Optional	
Loop: AK3	Elements: 4

User Option (Usage): Used

Purpose: To report errors in a data element or composite data structure and identify the location of the data element

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK401	C030	Position in Segment	M	Comp		Must use
		Description: Code indicating the relative position of a simple data element, or the relative position of a composite data structure combined with the relative position of the component data element within the composite data structure, in error; the count starts with 1 for the simple data element or composite data structure immediately following the segment ID				
AK401-01	722	Element Position in Segment	M	N0	1/2	Must use
		Description: This is used to indicate the relative position of a simple data element, or the relative position of a composite data structure with the relative position of the component within the composite data structure, in error; in the data segment the count starts with 1 for the simple data element or composite data structure immediately following the segment ID				
AK401-02	1528	Component Data Element Position in Composite	O	N0	1/2	Used
		Description: To identify the component data element position within the composite that is in error				
AK402	725	Data Element Reference Number	O	N0	1/4	Used
		Description: Reference number used to locate the data element in the Data Element Dictionary				
AK403	723	Data Element Syntax Error Code	M	ID	1/3	Must use
		Description: Code indicating the error found after syntax edits of a data element All valid standard codes are used. (Total Codes: 10)				
AK404	724	Copy of Bad Data Element	O	AN	1/99	Used
		Description: This is a copy of the data element in error				

Semantics:

1. In no case shall a value be used for AK404 that would generate a syntax error, e.g., an invalid character.

AK5 Transaction Set Response Trailer

Pos: 060	Max: 1
Heading - Mandatory	
Loop: AK2	Elements: 6

User Option (Usage): Must use

Purpose: To acknowledge acceptance or rejection and report errors in a transaction set

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK501	717	Transaction Set Acknowledgment Code	M	ID	1/1	Must use
		Description: Code indicating accept or reject condition based on the syntax editing of the transaction set				
		All valid standard codes are used. (Total Codes: 6)				
AK502	718	Transaction Set Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a transaction set				
		All valid standard codes are used. (Total Codes: 25)				
AK503	718	Transaction Set Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a transaction set				
		All valid standard codes are used. (Total Codes: 25)				
AK504	718	Transaction Set Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a transaction set				
		All valid standard codes are used. (Total Codes: 25)				
AK505	718	Transaction Set Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a transaction set				
		All valid standard codes are used. (Total Codes: 25)				
AK506	718	Transaction Set Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of a transaction set				
		All valid standard codes are used. (Total Codes: 25)				

AK9 Functional Group Response Trailer

Pos: 070	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 9

User Option (Usage): Must use

Purpose: To acknowledge acceptance or rejection of a functional group and report the number of included transaction sets from the original trailer, the accepted sets, and the received sets in this functional group

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AK901	715	Functional Group Acknowledge Code	M	ID	1/1	Must use
		Description: Code indicating accept or reject condition based on the syntax editing of the functional group				
		All valid standard codes are used. (Total Codes: 7)				
AK902	97	Number of Transaction Sets Included	M	NO	1/6	Must use
		Description: Total number of transaction sets included in the functional group or interchange (transmission) group terminated by the trailer containing this data element				
AK903	123	Number of Received Transaction Sets	M	NO	1/6	Must use
		Description: Number of Transaction Sets received				
AK904	2	Number of Accepted Transaction Sets	M	NO	1/6	Must use
		Description: Number of accepted Transaction Sets in a Functional Group				
AK905	716	Functional Group Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of the functional group header and/or trailer				
		All valid standard codes are used. (Total Codes: 23)				
AK906	716	Functional Group Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of the functional group header and/or trailer				
		All valid standard codes are used. (Total Codes: 23)				
AK907	716	Functional Group Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of the functional group header and/or trailer				
		All valid standard codes are used. (Total Codes: 23)				
AK908	716	Functional Group Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of the functional group header and/or trailer				
		All valid standard codes are used. (Total Codes: 23)				
AK909	716	Functional Group Syntax Error Code	O	ID	1/3	Used
		Description: Code indicating error found based on the syntax editing of the functional group header and/or trailer				
		All valid standard codes are used. (Total Codes: 23)				

Comments:

1. If AK901 contains the value "A" or "E", then the transmitted functional group is accepted.

SE Transaction Set Trailer

Pos: 080	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 2

User Option (Usage): Must use

Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
SE01	96	Number of Included Segments	M	N0	1/10	Must use
		Description: Total number of segments included in a transaction set including ST and SE segments				
SE02	329	Transaction Set Control Number	M	AN	4/9	Must use
		Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set				

Comments:

1. SE is the last segment of each transaction set.